



FX & CFD

ACCOUNT OPENING FORM

| 1. PERSONAL DETAILS | | | |
|--|-------------|--------------------|--|
| Title: | Forenames: | Surname: | |
| Country of Birth: | | Nationality: | |
| Date of Birth: | | | |
| 2. CONTACT DETAILS | | | |
| E-mail address: | | Home Telephone: | |
| Mobile Telephone: | | Daytime Telephone: | |
| 3. CURRENT ADDRESS DETAILS | | | |
| Due to regulatory requirements, DF Markets cannot accept clients from high-risk regions. | | Country: | |
| Postcode: | Town/City: | | |
| Residential Address, Line 1: | | | |
| Residential Address, Line 2: | | | |
| Length of time at current address: | Years: | Month: | |
| 3.1. Previous address details | | | |
| If less than 3 years at current address, please provide details of previous addresses in the last 3 years: | | Country: | |
| Postcode: | Town/City: | | |
| Residential Address, Line 1: | | | |
| Residential Address, Line 2: | | | |
| Length of time at current address: | Years: | Month: | |
| If less than 3 years at current address, please provide details of previous addresses in the last 3 years: | | Country: | |
| Postcode: | Town/City: | | |
| Residential Address, Line 1: | | | |
| Residential Address, Line 2: | | | |
| Length of time at current address: | Years: | Month: | |
| 4. TAX STATUS | | | |
| Current Tax Residency: | NINO / TIN: | | |
| Are you a resident for tax purposes of any other country? | Yes | No | |
| Tax Residency: | NINO / TIN: | | |
| Are you a citizen of the United States of America or a US tax resident? | Yes | No | |
| If "Yes" please specify US Taxpayer Identification Number (TIN) or a Social Security Number: | | | |

5. ACCOUNT SETUP

Select your Account Currency:

6. TRADING EXPERIENCE AND RELEVANT KNOWLEDGE

Please make sure you provide accurate information about your **LIVE trading experience**, where you have made the final trading decision (i.e., on an execution-only but non-advised basis), in the **last 3 years** and relevant knowledge, as we will assess this information in our consideration of the outcome of your application.

6.1. What is your LIVE trading experience in the following instruments on a non-advised basis?

Shares/Bonds/ETFs
Options/Futures/ETDs
Forex/CFD/Spread Bets

6.2. How many trades did you execute in these instruments for the selected period?

Shares/Bonds/ETFs
Options/Futures/ETDs
Forex/CFD/Spread Bets

6.3. What is the total value of the executed trades in GBP or the number of lots/contracts?

Shares/Bonds/ETFs
Options/Futures/ETDs
Forex/CFD/Spread Bets

6.4. Where did you gain your knowledge on the following instruments? *(please select all that apply)*

| | | |
|-----------------------|---|--|
| Shares/Bonds/ETFs | <p>My knowledge is based on my education</p> <p>My knowledge is from work experience or professional activities</p> <p>I undertook studies or self-training (e.g. seminars, webinars, etc.)</p> | <p>My knowledge is from trading experience</p> <p>I don't have any relevant knowledge of Shares/Bonds/ETFs</p> |
| Options/Futures/ETDs | <p>My knowledge is based on my education</p> <p>My knowledge is from work experience or professional activities</p> <p>I undertook studies or self-training (e.g. seminars, webinars, etc.)</p> | <p>My knowledge is from trading experience</p> <p>I don't have any relevant knowledge of Options/Futures/ETDs</p> |
| Forex/CFD/Spread Bets | <p>My knowledge is based on my education</p> <p>My knowledge is from work experience or professional activities</p> <p>I undertook studies or self-training (e.g. seminars, webinars, etc.)</p> | <p>My knowledge is from trading experience</p> <p>I don't have any relevant knowledge of Forex/CFD/Spread Bets</p> |

6.5. Have you practised with a DEMO leveraged trading account?

6.6. How many trades did you execute on a DEMO leveraged trading account?

6.7. How would you assess the risk involved in investing in these instruments?

Shares/Bonds/ETFs
Options/Futures/ETDs
Forex/CFD/Spread Bets

6.8. Type of education (please select up to 2 options)

6.9. Level of education

6.10. If the margin requirement is 5% and you open a position worth 20,000 GBP, what would be the minimum required amount you should have in your account?

6.11. If you hold a short position worth 10,000 GBP and the market price drops 5%, what would happen with your account balance?

6.12. What risk-management tools do you use, if any, to protect your capital while trading leveraged (please select all that apply)

| | | |
|--------------------|---|-------------------|
| place stop orders | downsize open positions | none of the above |
| place limit orders | trade with small percentage of my account balance | |

7. FINANCIAL DETAILS

| | |
|-----------------------------------|----------------------|
| Gross Salary/Income in GBP: | Risk capital in GBP: |
| Estimated Initial Deposit in GBP: | Source of deposit: |

7.1. Bank Information

Please provide details of the Bank Account that you will use to make and receive payments in connection with the trading activities on your account. Please note that we may use these details for all future payments that you may request. Your nominated Bank Account must be in the same name as your account with **DF Markets**.

| | |
|----------------------|----------------------|
| Name of Bank: | Country: |
| IBAN/Account Number: | Sort Code/BIC/Swift: |
| Address of Bank: | |

7.2. Employment Details

| | | |
|---|----------------------------|----|
| Nature of Employment: | Occupation: | |
| Nature of Business: | If "Other" please specify: | |
| Are you an approved person employed by an FCA/PRA regulated firm? | Yes | No |
| If "Yes" please specify your FCA individual ref. number: | | |

8. REFERRAL

Referred by a **DF Markets** Introducer/Referring Party (please specify):
Where did you learn about DF Markets?

9. DECLARATIONS

As DF Markets offer leveraged products that are high risk, I confirm I understand **and accept** that:

When trading CFDs I can lose more than my initial investment.

Small market movements can result in my trade(s) incurring larger losses.

It is my responsibility to monitor my trading account and manage the risk of potential losses.

I understand and accept that Delta Financial Markets will provide services to me in accordance with: [Terms & Conditions](#); [Risk warning notice](#); [Execution policy](#); [Conflict of interest policy](#); [Market information sheet](#).

I am further aware that for my own benefit and protection I should read these documents carefully before signing them and if I do not understand any point, I should ask for further information or seek independent advice

NOTE: These documents collectively constitute a legally binding contract between us and you (the Client Agreement). You can always refer to them in the [Legal Information](#) section on our website.

– I understand and accept that all the information regarding my CFD Account, including the details about my transaction activity will be provided to me through the Electronic Trading Platform and/or via email and will not be provided to me in paper form.

– I understand and accept that Delta Financial Markets may report information on this application or related to my CFD Account to the HM Revenue and Customs (HMRC), the US Internal Revenue Service and other tax authorities as may be required by law.

– I confirm that the information I have provided to Delta Financial Markets (DF Markets) about myself on this application from (including any prepopulated information) is true and correct. In addition, I will notify DF Markets immediately if any of that information materially changes.

By clicking this box, I hereby agree to be bound by each of the above declarations.

In order to protect yourself against possible losses that may occur from uninformed investment decisions and in accordance with Regulation (EU) No 1286/2014 of the European Parliament and of the Council of 26 November 2014 on key information documents for packaged retail and insurance-based investment products and related regulatory acts, we ask you to read carefully the key information documents (KIDs) of the financial instruments falling within the scope of the concept of “packaged retail investment product” available on the website: www.dfmarkets.co.uk. You may request the KIDs of the aforementioned financial instruments to be provided to you on paper and/or on another durable medium. Please get acquainted with the necessary information before entering into contractual relations with us!

I declare that DF Markets has provided in good time the KIDs of the financial instruments falling within the scope of the "packaged retail investment product" through www.dfmarkets.co.uk. I declare that I choose all subsequent KIDs and/or amendments to the already existing KIDs to be provided through the website.

I have read the **Client Agreement** and agree to its terms and conditions:

SIGNATURE: _____ DATE (dd/mm/yyyy): _____

Your application will not be valid without your signature as well as copies of your Identity and Address Verification documents as required by us!

To be completed by an employee of DF Markets:

Application No _____

Thank You!

Once your account has been approved and activated we will contact you by email to confirm your account details. If you have any questions, please email us: office@dfmarkets.co.uk or call: +44 (0) 20 3866 9652.

Risk Warning: CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage. **77% of retail investor accounts lose money when trading CFDs with this provider.** You should consider whether you understand how CFDs work and whether you can afford to take the high risk of losing your money.

