



**SPREAD BETTING**  
**ACCOUNT OPENING FORM**

1. PERSONAL DETAILS			
Title:	Forenames:	Surname:	
Country of Birth:		Nationality:	
Date of Birth:			
2. CONTACT DETAILS			
E-mail address:		Home Telephone:	
Mobile Telephone:		Daytime Telephone:	
3. CURRENT ADDRESS DETAILS			
Country:	United Kingdom	Ireland	
Post Code:	Town/City:		
Residential Address, Line 1:			
Residential Address, Line 2:			
Length of time at current address:	Years:	Month:	
3.1. Previous address details			
If less than 3 years at current address, please provide details of previous addresses in the last 3 years:		Country:	
Post Code:	Town/City:		
Residential Address, Line 1:			
Residential Address, Line 2:			
Length of time at current address:	Years:	Month:	
If less than 3 years at current address, please provide details of previous addresses in the last 3 years:		Country:	
Post Code:	Town/City:		
Residential Address, Line 1:			
Residential Address, Line 2:			
Length of time at current address:	Years:	Month:	
4. TAX STATUS			
Current Tax Residency:	NINO / TIN:		
Are you a resident for tax purposes of any other country?	Yes	No	
Tax Residency:	NINO / TIN:		
Are you a citizen of the United States of America or a US tax resident?	Yes	No	
If "Yes" please specify US Taxpayer Identification Number (TIN) or a Social Security Number:			

## 5. TRADING KNOWLEDGE AND EXPERIENCE

Please make sure you provide accurate information about your LIVE trading experience in the last 3 years and relevant knowledge, as we will assess this information in our consideration of the outcome of your application.

### 5.1. What is your LIVE trading experience in the following instruments on a non-advised basis?

Shares/Bonds/ETFs  
Options/Futures/ETDs  
Forex/CFD/Spread Bets

### 5.2. How many trades did you execute in these instruments for the selected period?

Shares/Bonds/ETFs  
Options/Futures/ETDs  
Forex/CFD/Spread Bets

### 5.3. What is the total value of the executed trades in GBP or the number of lots/contracts?

Shares/Bonds/ETFs  
Options/Futures/ETDs  
Forex/CFD/Spread Bets

### 5.4. Where did you gain your knowledge on the following instruments? *(please select all that apply)*

Shares/Bonds/ETFs	<input type="checkbox"/> My knowledge is based on my education <input type="checkbox"/> My knowledge is from work experience or professional activities <input type="checkbox"/> I undertook studies or self-training (e.g. seminars, webinars, etc.)	<input type="checkbox"/> My knowledge is from trading experience <input type="checkbox"/> I don't have any relevant knowledge of Shares/Bonds/ETFs
Options/Futures/ETDs	<input type="checkbox"/> My knowledge is based on my education <input type="checkbox"/> My knowledge is from work experience or professional activities <input type="checkbox"/> I undertook studies or self-training (e.g. seminars, webinars, etc.)	<input type="checkbox"/> My knowledge is from trading experience <input type="checkbox"/> I don't have any relevant knowledge of Options/Futures/ETDs
Forex/CFD/Spread Bets	<input type="checkbox"/> My knowledge is based on my education <input type="checkbox"/> My knowledge is from work experience or professional activities <input type="checkbox"/> I undertook studies or self-training (e.g. seminars, webinars, etc.)	<input type="checkbox"/> My knowledge is from trading experience <input type="checkbox"/> I don't have any relevant knowledge of Forex/CFD/Spread Bets

### 5.5. Have you practiced with a DEMO leveraged trading account?

### 5.6. How many trades did you execute on a DEMO leveraged trading account?

### 5.7. How would you assess the risk involved in investing in these instruments?

Shares/Bonds/ETFs  
Options/Futures/ETDs  
Forex/CFD/Spread Bets

5.8. Type of education <i>(please select up to 2 options)</i>	
5.9. Level of education	
5.10. If the margin requirement is 5% and you open a bet worth 20,000 GBP, what would be the minimum required amount you should have in your account?	
5.11. If you hold a short bet worth 10,000 GBP and the market price drops 5%, what would happen with your account balance?	
5.12. Do you use any risk-management tools to protect your capital while trading leveraged products? <i>(please select all that apply)</i>	
place stop orders	downsize open positions
place limit orders	trade with small percentage of my account balance
	none of the above
<b>6. FINANCIAL DETAILS</b>	
Gross Salary/Income in GBP:	Risk capital in GBP:
Estimated Initial Deposit in GBP:	Source of deposit:
<b>6.1. Bank Information</b>	
Please provide details of the Bank Account that you will use to make and receive payments in connection with the trading activities on your account. Please note that we may use these details for all future payments that you may request. Your nominated Bank Account must be in the same name as	
Name of Bank:	Country:
IBAN/Account Number:	Sort Code/BIC/Swift:
Address of Bank:	
<b>6.2. Employment Details</b>	
Nature of Employment:	Occupation:
Nature of Business:	If "Other" please specify:
Are you an approved person employed by an FCA/PRA regulated firm?	Yes No
If "Yes" please specify your FCA individual ref. number:	Name of Current Employer:
<b>7. REFERRAL</b>	
Referred by a <a href="#">DF Markets</a> Introducer/Referring Party (please specify):	
Where did you learn about DF Markets?	

## 8. DECLARATIONS

As DF Markets offer leveraged products that are high risk, I confirm I understand **and accept** that:

When trading spread bets I can lose more than my initial investment.

Small market movements can result in my trade(s) incurring larger losses.

It is my responsibility to monitor my trading account and manage the risk of potential losses.

I understand and accept that Delta Financial Markets will provide services to me in accordance with: [Terms & Conditions](#); [Risk Warning Notice](#); [Execution Policy](#); [Conflict of Interest Policy](#); [Market Information Sheet](#).

I am further aware that for my own benefit and protection I should read these documents carefully before signing them and if I do not understand any point, I should ask for further information or seek independent advice.

**NOTE:** These documents collectively constitute a legally binding contract between us and you (the Client Agreement). You can always refer to them in the [Legal Information](#) section on our website.

- I understand and accept that all the information regarding my Financial Spread Betting Account, including the details about my transaction activity will be provided to me through the Electronic Trading Platform and/or via email and will not be provided to me in paper form.

- I understand and accept that Delta Financial Markets may report information on this application or related to my Financial Spread Betting Account to the HM Revenue and Customs (HMRC), the US Internal Revenue Service and other tax authorities as may be required by law.

- I confirm that the information I have provided to Delta Financial Markets (DF Markets) about myself on this application from (including any prepopulated information) is true and correct. In addition, I will notify DF Markets immediately if any of that information materially changes.

By clicking this box, I hereby agree to be bound by each of the above declarations.

In order to protect yourself against possible losses that may occur from uninformed investment decisions and in accordance with Regulation (EU) No 1286/2014 of the European Parliament and of the Council of 26 November 2014 on key information documents for packaged retail and insurance-based investment products and related regulatory acts, we ask you to read carefully the key information documents (KIDs) of the financial instruments falling within the scope of the concept of "packaged retail investment product" available on the website: [www.dfmarkets.co.uk](http://www.dfmarkets.co.uk). You may request the KIDs of the aforementioned financial instruments to be provided to you on paper and/or on another durable medium. Please get acquainted with the necessary information before entering into contractual relations with us!

I declare that DF Markets has provided in good time the KIDs of the financial instruments falling within the scope of the "packaged retail investment product" through [www.dfmarkets.co.uk](http://www.dfmarkets.co.uk). I declare that I choose all subsequent KIDs and/or amendments to the already existing KIDs to be provided through the website.

I have read the [Client Agreement](#) and agree to its terms and conditions:

SIGNATURE: \_\_\_\_\_ DATE (dd/mm/yyyy): \_\_\_\_\_

**Your application will not be valid without your signature as well as copies of your Identity and Address Verification documents as required by us!**

To be completed by an employee of **DF Markets**:

Application No \_\_\_\_\_

### Thank You

Once your account has been approved and activated we will contact you by email to confirm your account details. If you have any questions, please email us: [office.sb@dfmarkets.co.uk](mailto:office.sb@dfmarkets.co.uk) or call: +44 (0) 20 3866 9652

**Risk Warning:** Forex, CFDs and Spread Bets are leveraged products that carry a high level of risk to your capital and may not be suitable for all investors. You may lose more than your initial investment! Ensure you fully understand the risks involved and seek independent advice if necessary.

